



2171/Image

Docket No.: 1254-0256PUS1  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Haruhito WATANABE

Application No.: 10/506,889 ✓

Confirmation No.: 6735

Filed: September 7, 2004

Art Unit: 2171

For: TRANSMISSION ORIGIN APPARATUS,  
TRANSMISSION DESTINATION  
APPARATUS, INFORMATION  
TRANSMISSION SYSTEM, AND METHOD  
FOR RECOGNIZING SYSTEM IN  
INFORMATION TRANSMISSION SYSTEM

Examiner: Not Yet Assigned

LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Subsequent to the filing of the above-identified application on September 7, 2004, attached hereto is an English translation of the International Preliminary Examination Report (Form PCT/IPEA/409) that should be made of record in the present application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or to credit any overpayment to Deposit Account No.

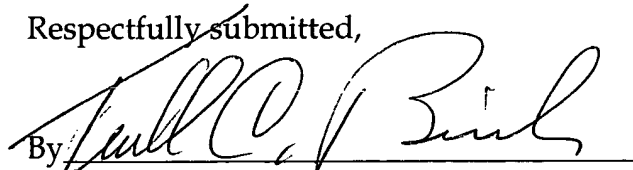
Application No.: 10/506,889

Docket No.: 1254-0256PUS1

02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Dated: May 17, 2005

Respectfully submitted,

By 

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Attachment(s)

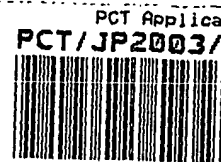
Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference PH-1605-PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP03/01128	International filing date (day/month/year) 04 February 2003 (04.02.03)	Priority date (day/month/year) 08 March 2002 (08.03.02)
International Patent Classification (IPC) or national classification and IPC G06F 12/00, 9/445, 13/00, H04N 5/76		
Applicant SHARP KABUSHIKI KAISHA		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets, including this cover sheet.  
☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  
These annexes consist of a total of \_\_\_\_\_ sheets.

3. This report contains indications relating to the following items:
  - I ☒ Basis of the report
  - II ☐ Priority
  - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
  - IV ☐ Lack of unity of invention
  - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
  - VI ☐ Certain documents cited
  - VII ☐ Certain defects in the international application
  - VIII ☐ Certain observations on the international application

Date of submission of the demand 02 June 2003 (02.06.03)	Date of completion of this report 20 October 2003 (20.10.2003)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP03/01128

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed
- ☐ the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the claims:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

From the INTERNATIONAL BUREAU

**PCT**

NOTIFICATION OF TRANSMITTAL  
OF COPIES OF TRANSLATION  
OF THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 72.2)

To:

HIRAKI, Yusuke  
Toranomon No.5 Mori Building Third Floor, 17-1,  
Toranomon 1-chome  
Minato-ku, Tokyo 105-0001  
JAPON

Date of mailing (*day/month/year*)  
14 October 2004 (14.10.2004)

Applicant's or agent's file reference  
PH-1605-PCT

**IMPORTANT NOTIFICATION**

International application No.  
PCT/JP2003/001128

International filing date (*day/month/year*)  
04 February 2003 (04.02.2003)

Applicant

SHARP KABUSHIKI KAISHA et al

**1. Transmittal of the translation to the applicant.**

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

**2. Transmittal of the copy of the translation to the elected Offices.**

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

CN, EP, KR

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

US

**3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).**

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.



The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Yoshiko Kuwahara

Facsimile No.+41 22 740 14 35

Facsimile No.+41 22 338 90 90

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP03/01128

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)	Claims	1-4	YES
	Claims		NO
Inventive step (IS)	Claims		YES
	Claims	1-4	NO
Industrial applicability (IA)	Claims	1-4	YES
	Claims		NO

**2. Citations and explanations**

Document 1: JP, 2001-236252, A (Sharp Corp.), 31 August, 2001 (31.08.01), full text, all drawings

Document 2: JP, 2002-49634, A (Fuji Photo Film Co., Ltd.), 15 February, 2002 (15.02.02), full text, all drawings

**Claims 1-4**

Document 1 describes, "an image forming device (transfer-from apparatus) of a system for transferring files, provided with an identification file producing means for producing an identification file with an ID specifying the transfer-from party recorded in it in addition to the file to be transferred." Furthermore, the document also describes "an image data management device (transfer-to apparatus) of a system for transferring files, provided with (1) a monitoring means for identifying the identification file and (2) a means for transferring files other than the identification file based on the identification result by the said monitoring means."

Moreover, document 2 describes, "When a file is read, it is detected that the file has a standardized folder structure."

Moreover, as described in document 2, it is usually practiced that a cable of a standardized interface standard is used to connect a transfer-from apparatus and a transfer-to apparatus, for transferring a file. A serial interface of USB or the like as an interface standard is also well known.

So, it is considered to be obvious for a person skilled in the art to arrive at the subject matters of claims 1-4 based on documents 1 and 2. Therefore, the subject matters of claims 1-4 do not appear to involve an inventive step.